

05-13-52

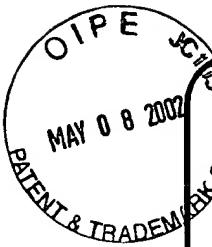
2831

PTO/SB/21 (6-98)

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/653,156

Filing Date

August 31, 2000

First Named Inventor

Vishnu K. Agarwal

Group Art Unit

2831

Examiner Name

Y. Huynh

Attorney Docket Number

MI22-1518

## ENCLOSURES (check all that apply)

Fee Transmittal Form  
 Fee Attached  
 Amendment / Response  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/ Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers (for an Application)  
 Drawing(s)  
 Licensing-related Papers  
 Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation Change of Correspondence Address  
 Terminal Disclaimer  
 Small Entity Statement  
 Request for Refund

After Allowance Communication to Group  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Additional Enclosure(s) (please identify below):

Return Postcard  
A \$180.00 check  
PTO-1449  
cited references

Remarks Customer No. 021567

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 and § 1.17 and credit any overpayments to account no. 23-0925.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

James E. Lake  
Reg. No. 44,854; Wells St. John P.S.

Signature

08 May 2002

Date

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## CERTIFICATE OF MAILING

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Typed or printed name Gail Wright

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EV077329138



PTO/SB/17 (12/99)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

**TOTAL AMOUNT OF PAYMENT** (\$180.00)

*Complete if Known*

Application Number 09/653,156

Filing Date August 31, 2000

First Named Inventor Vishnu K. Agarwal

Examiner Name Y. Huynh

Group / Art Unit 2813

Attorney Docket No. MI22-1518

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CENTRE  
MAY 16 2002

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																							
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 23-0925</p> <p>Deposit Account Name Wells St. John P.S.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td>0.00</td></tr> <tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td>0.00</td></tr> <tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td>0.00</td></tr> <tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for reexamination</td><td>0.00</td></tr> <tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr> <tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td>0.00</td></tr> <tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td>0.00</td></tr> <tr><td>116</td><td>380</td><td>216 190 Extension for reply within second month</td><td>0.00</td></tr> <tr><td>117</td><td>870</td><td>217 435 Extension for reply within third month</td><td>0.00</td></tr> <tr><td>118</td><td>1,360</td><td>218 680 Extension for reply within fourth month</td><td>0.00</td></tr> <tr><td>128</td><td>1,850</td><td>228 925 Extension for reply within fifth month</td><td>0.00</td></tr> <tr><td>119</td><td>300</td><td>219 150 Notice of Appeal</td><td>0.00</td></tr> <tr><td>120</td><td>300</td><td>220 150 Filing a brief in support of an appeal</td><td>0.00</td></tr> <tr><td>121</td><td>260</td><td>221 130 Request for oral hearing</td><td>0.00</td></tr> <tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td>0.00</td></tr> <tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td>0.00</td></tr> <tr><td>141</td><td>1,210</td><td>241 605 Petition to revive - unintentional</td><td>0.00</td></tr> <tr><td>142</td><td>1,210</td><td>242 605 Utility issue fee (or reissue)</td><td>0.00</td></tr> <tr><td>143</td><td>430</td><td>243 215 Design issue fee</td><td>0.00</td></tr> <tr><td>144</td><td>580</td><td>244 290 Plant issue fee</td><td>0.00</td></tr> <tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td>0.00</td></tr> <tr><td>123</td><td>50</td><td>123 50 Petitions related to provisional applications</td><td>0.00</td></tr> <tr><td>126</td><td>240</td><td>126 240 Submission of Information Disclosure Stmt</td><td>180.00</td></tr> <tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr> <tr><td>146</td><td>690</td><td>246 345 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr> <tr><td>149</td><td>690</td><td>249 345 For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr> <tr><td colspan="4">Otherfee(specify) _____</td></tr> <tr><td colspan="4">Otherfee(specify) _____</td></tr> <tr> <td colspan="4">SUBTOTAL (1) (\$0.00)</td> <td colspan="4">SUBTOTAL (3) (\$180.00)</td> </tr> <tr> <td colspan="4">Reduced by Basic Filing Fee Paid</td> <td colspan="4">SUBTOTAL (3) (\$180.00)</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - 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SUBMITTED BY				Complete (if applicable)																																																																																																																																							
Name (Print/Type)	James E. Lake	Registration No. (Attorney/Agent)	44,854	Telephone	US-509-624-4276																																																																																																																																						
Signature	<i>James E. Lake</i>	Date	08 May 2002																																																																																																																																								

## WARNING

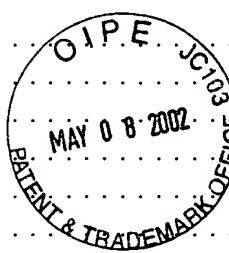
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EV077329138

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 09/653,156  
Filing Date .... August 31, 2000  
Inventor .... Vishnu K. Agarwal  
Assignee .... Micron Technology, Inc.  
Group Art Unit .... 2813  
Examiner .... Unknown  
Attorney's Docket No. .... MI22-1518  
Title: Capacitor Fabrication Methods



**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

References -- See Attached Form PTO-1449

Attn: Art Unit 2831  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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TECHNOLOGY CENTER 2800

**REMARKS**

The attached form PTO-1449 is submitted in compliance with 37 CFR §1.56. No admission is made regarding whether any of the submitted references is prior art. Copies of the references are attached.

Respectfully submitted,

Date: 08 May 2002

By: James E. Lake  
James E. Lake  
Reg. No. 44,854

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